

**PROCEDURES FOR CLAIMING INTEREST ON DEBENTURES AND/ OR  
DEBENTURES FROM INVESTOR EDUCATION AND PROTECTION FUND (IEPF)  
AUTHORITY**

1. File an application in Form IEPF-5 by login/register on Ministry of Corporate Affairs MCA V3 portal (mca.gov.in) and access the forms under MCA Services> Company e-filing > IEPF Services > IEPF-5 Web Form - Claiming unpaid amount and shares. Read the instructions provided in the instruction kit along with the e-form and FAQs issued by IEPF Authority, carefully before filling the form.
2. All data filled in Form IEPF-5 should be correct and should match with supporting documents.
3. On successful submission of Form IEPF-5 on Portal, an acknowledgement mail will be received on the email ID which was used to create the login on MCA V3 portal indicating the SRN. Please note the SRN for future tracking of the status of form.
4. Take a printout of the duly filled Form IEPF-5, Form IEPF-5 acknowledgement, and Indemnity Bond. Indemnity Bond will be generated automatically after submission of Form IEPF-5.
5. Steps to be followed post submission of Form IEPF-5:  
Claimant is required to send duly signed (self-attested) Form IEPF-5, acknowledgement thereof, attachments and documents prescribed below to Nodal Officer (IEPF) of the Company at its registered office in an envelope marked **"Claim for Refund from IEPF Authority"** for initiating the verification for claim.
6. Documents required for verification of claim in respect of securities held in physical or de-mat mode singly or jointly in below mentioned situations:
  - (a) If Claimant is Debenture holder- Debenture holder(s) are alive.  
**(Refer below Section-A)**
  - (b) If Claimant is nominee- Debenture holder or all Debenture holders (in case of joint holders) dies with registration of nomination of debentures.  
**(Refer below Section-B)**
  - (c) If claimant is legal heirs- Debenture holder or all Debenture holders (in case of joint holders) dies without registration of nomination of debentures.  
**(Refer below Section-C)**

**A. IF CLAIMANT- DEBENTUREHOLDER(s)**

[DEBENTURES ARE HELD SINGLY OR JOINTLY BY DEBENTUREHOLDERS(S) ITSELF]

- After filing of Form IEPF-5, Indemnity Bond with signature of all claimants and witnesses will be executed as per the below mentioned procedure:

- a) On Plain paper if the Redemption cum Interest Warrant amount is less than Rs 10,000/-
  - b) On Non-judicial Stamp paper of Rs.500/-, if the Redemption cum Interest Warrant amount is Rs 10,000/- or more than more than Rs. 10,000.
- Following documents in original to be couriered at registered office of the Company:
    - KYC Documents: **(Please refer KYC procedure for details)**
      - **In case of Indian Citizen:** Self-attested copy of PAN Card and Aadhar Card of all Claimants. If joint holders are there, copy of Aadhaar card & PAN Card of all joint holders.
      - **In case of NRI:** Self-attested copy of Passport or self-attested copy of Overseas Indian Card (OIC) issued by MHA along with any of the documents available with him.
      - **In case of foreigners:** Self-attested copy of Passport or PIO Card duly apostatized as per Hague Convention.
    - Name Change: **(Please refer Name Change Procedure in case of name change)**
      - In case the claimant's name as per Company's/RTA's record does not match with any of the documents such as PAN Card, Aadhar Card, Passport, Cancelled Cheque Leaf, Client Master List (CML) then an affidavit (duly notarized) explaining the above deviation/reason of change in name, on non-judicial stamp paper of Rs. 500/-; and marriage certificate, Gazette notification etc., if applicable.
      - Self-attested copy of New ID proof-Copy of the PAN Card, Aadhar Card, Passport, Driving License. PAN Card is mandatory.
    - Original Cancelled Cheque leaf. In case, Cheque leaf doesn't have Name, Bank and Branch Name, IFSC Code etc. printed on it, copy of Bank Accounts Statement/ Passbook, duly attested by the Bank shall be submitted.
    - Proof of entitlement:
      - If the claimant having Share/Debenture Certificate of Century Enka Limited then provide original Share/Debenture certificate(s), in other cases, kindly provide original share certificate(s) of erstwhile Rajshree Polyfil Limited
      - Duly attested Original Redemption cum Interest Warrant

- In case of shares/debentures held in demat account, then provide Copy of Client Master List/Report (CML/CMR) of the Claimant(s) duly attested by all the claimants.
- Please note that Name of claimant, PAN, Address and Bank Account details provided should be same as mentioned in CML/CMR.
- All documents should be signed and dated by claimant(s) and witnesses at all places.
- If original share certificate(s) or debenture certificate(s) not with claimant, then follow the below **Procedure of Issue of duplicate debenture certificate annexed as Annexure-A.**
- **E-mail the duly filled, signed and dated documents on Company's e-mail id at cel.investor@adityabirla.com and post our confirmation only** courier the original documents to the Registered office address of the Company to avoid any discrepancies.
- E-mail the courier slip as proof of dispatch to Company's email id.
- Email Id and address of the Company has been mentioned under General Information Section on the website of the Company.

#### **B. IF CLAIMANT-NOMINEE(S)**

[DEBENTURES ARE HELD SINGLY OR JOINTLY WITH NOMINATION]

In addition to the documents/ information mentioned in Section-A above, following additional documents are required:

- Duly signed transmission request form by the claimant
- Copy of death certificate of security holder attested by claimant
- Self-attested copy of PAN card of nominee
- Original debenture certificate and scanned copy of the same to be attached in the form (In case of debentures held in physical mode) / Copy of transaction statement duly attested by Claimant (In case of securities held in demat mode)
- Any Government ID proof of the nominee

#### **C. IF CLAIMANT-LEGAL HEIR(S)**

[DEBENTURES ARE HELD SINGLY OR JOINTLY WITHOUT NOMINATION]

In addition to the documents/ information mentioned in Section-A & Section B above, following additional documents are required:

- Duly signed and notarised Affidavit from all the legal heirs made on Rs.500/-non-judicial stamp paper to the effect of identification and claim of legal ownership to the securities. In case the legal heir(s) or claimant(s) is named in the Succession Certificate or Probate of Will or Letter of Administration, an Affidavit from such legal heir(s) or claimant(s) alone would be sufficient **and**
- Where the value of securities upto Rs. 5,00,000 (Rupees Five Lakh Only) as on the date of application, one or more of the following documents:
  - Succession Certificate or Probate of Will or Will or Letter of Administration or Decree, as may be applicable in terms of Indian Succession Act, 1925 or any other Decree or Order of any Court or Tribunal

**OR**

- No objection certificate from all legal heir(s) executed by all the legal heirs of the deceased holder not objecting to such transmission (or) copy of Family Settlement Deed duly notarized

**AND**

Duly signed and notarised Indemnity bond made on Rs. 500/- non-judicial stamp paper.

- Where the value of securities more than 5,00,000 (Rupees Five lakh only) as on date of application:
  - Succession Certificate or Probate of Will or Will or Letter of Administration or Decree, as may be applicable in terms of Indian Succession Act, 1925 or any other Decree or Order of any Court or Tribunal.
- For the case, where a copy of the will is submitted as may be applicable in terms of the Indian Succession Act, 1925 (39 to 1925), the same shall be accompanied with a notarized Indemnity bond from the claimant to whom the securities are transmitted.
- In cases where legal heir certificate issued by the revenue authority not below the rank of tehsildar having jurisdiction is submitted, the same shall be accompanied with:

-Duly signed and notarised Indemnity bond made on Rs. 500/- non-judicial stamp paper from the claimant to whom the securities are transmitted;

**AND**

-No objection certificate from all legal heir(s) other than claimants executed by all the legal heirs of the deceased holder stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer.

## **ANNEXURE-A**

### **DOCUMENTS TO BE SUBMITTED IN CASE OF LOSS OF DEBENTURE CERTIFICATES HELD IN PHYSICAL MODE:**

1. Self- attested copy of FIR/ Police Compliant containing information of security holder, holding details, folio number and distinctive numbers of share certificate if the market value of the shares is greater than Rs 5,00,000/-
2. Indemnity bond by debenture holder made on Rs. 500/- non-judicial stamp paper duly attested by Notary Public and by the person, in whose name the original debenture certificate are being issued that he has not sold / disposed off the involved debenture or acted in any manner by which any interest of third party would have been created.
3. Copy of advertisement issued regarding loss of securities in a widely circulated newspaper, if the market value of the debenture is greater than Rs.5,00,000/-.