## PROCEDURE FOR CHANGE IN NAME

#### I. Major mismatch in name of securities holder

- An application for change of name duly signed by registered shareholder(s).
- All original share certificates to incorporate name change on the certificates.
- Newspaper Advertisement for Name Change.
- Notarized copy of Gazette Notification for name change.
- Any one of the following documents (self-attested and dated) as ID-Proof for New Name:
  - PAN Card (Mandatory)
  - 4 Aadhar Card
  - Passport copy
  - Driving License
    Utility bills like Telephone Bill, Electricity bill & Gas Bill (not more than 3 months old)
- KYC\* documents of shareholder(s)

# II. Minor mismatch in name of securities holder between any two sets of documents or due to marriage

- An application for change of name duly signed by registered shareholder(s).
- All original share certificates to incorporate name correction on the certificates.
- Any one of the following documents explaining the difference in names:
  - Unique Identification Number (UID) (Aadhar)
  - Valid Passport
  - Driving Licence (Smart Card form/Book form/copy of Digital form)
  - PAN card with photograph
  - Identity card/ document with applicant's Photo, issued by any of the following: Central/ State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.
  - Marriage certificate
  - Divorce decree
- KYC\* documents of shareholder(s)

### Note:-

### \* KYC Documents of claimant(s)/shareholder(s)

- 1. KYC request in ISR-1
- 2. Signature Attestation by Bank in ISR-2
- 3. Nomination registration in SH-13 or Opt out of Nomination in ISR-3
- 4. Original cancelled cheque leaf or Copy of Bank Passbook certified by Bank
- 5. Self-attested and dated PAN and Aadhar card copy

- ➤ Company/RTA will process the request and will issue Letter of Confirmation and send it through registered/speed post to the postal address of Shareholder or through e-mail with e-sign and /or Digital signature
- ➤ Shareholder shall submit Demat Request with Depository along with Original Letter of Confirmation within 120 days from the date of issuance of Letter of Confirmation.
- ➤ In case of non-receipt of Demat request from the securities holder/claimant within 120 days of the date of Letter of Confirmation, the shares will be credited to Suspense Escrow Demat Account of the Company.

Note: Furnishing of PAN, KYC details and Nomination is mandatory for holders of physical securities.